



RE-CLASSIFICATION FROM RETAIL CLIENT TO PROFESSIONAL CLIENT FORM

Name ▶

Client Account Number ▶

I REQUEST TO BE TREATED AS A PROFESSIONAL CLIENT IN RESPECT OF ALL TRADING ACTIVITY CARRIED OUT WITH NSFX.

BY SIGNING THIS FORM I CONFIRM THAT I SATISFY **TWO** OUT OF THREE OF THE FOLLOWING CRITERIA:

- a. I/we have carried out transactions, in significant size, on the relevant market at an average frequency of 10 per quarter of the previous four quarters;
- b. The size of my/our instrument portfolio, defined as including cash deposits and Instruments exceeds €500,000 or foreign currency equivalent; and/or
- c. I/we work or have worked in the financial sector for at least one year in a professional position, which requires knowledge of the transactions or services envisaged.

IN ADDITION TO THE ABOVE, I/WE UNDERSTAND THAT I/WE MAY LOSE PROTECTIONS AND INVESTOR COMPENSATION RIGHTS AND I/WE ARE FULLY AWARE OF THE CONSEQUENCES OF LOSING SUCH PROTECTIONS.

SIGNATURE

DD/MM/YYYY